

# Broad-Based Black Economic Empowerment Commission



## Compliance Report by Sphere of Government / Public Entities / Organs of State

(in terms of Section 13G (1) of the Act)

Case Number

FORM: BBEE 1

### SECTION A: DETAILS OF ENTITY

Name of Entity / Organisation	Transaction Capital Limited
Registration Number	2002/0317730/06
Physical Address	342 Jan Smuts Avenue, Hyde Park 2196
Telephone Number	082 904 0654
Email Address	SharonN@Transactioncapital.co.za
Indicate Type of Entity / Organisation	Public Company
Industry / Sector	Financial Services
Relevant Code of Good Practice	DTI Generic Code (Gazette No:42496 and 36928)
Name of Verification Agency	The Legal Verification Team
Name of Technical Signatory	Marsha Arnold

### SECTION B: INFORMATION AS VERIFIED BY THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT VERIFICATION PROFESSIONAL AS PER SCORECARDS

B-BBEE Elements	Target Score Including	Bonus Points	Actual Score Achieved
Ownership	e.g. 25 points		9.88
Management Control	e.g. 19 points		8.62
Skills Development	e.g. 20 points	5.00	17.98
Enterprise and Supplier Development	e.g. 40 points	4.00	37.98
Socio-Economic Development	e.g. 5 points		5.00
Total Score	e.g. 109 points	9.00	79.46
Priority Elements Achieved	YES / NO and specify them	Ownership - Yes Skills Development - Yes ESD - Yes	
Empowering Supplier Status	YES / NO and specify them	Yes	
Final B-BBEE Status Level	Level 5		

\*indicate how each element contributes to the outcome of the scorecard

## SECTION C: FINANCIAL REPORT

### 1. BASIC ACCOUNTING DETAILS:

- a. Accounting Officer's Name:
- b. Address:
- c. Accounting Policy: (*Your accounts are done?*)
- | Weekly | Monthly | Other (specify) |
|--------|---------|-----------------|
|        | X       |                 |
- d. Has the attached Financial Statements and Annual Report been approved by the entity?

### 2. PLEASE ATTACH THE FOLLOWING:

- a. Copy of Annual Financial Statement including Balance Sheet and Income and Expenditure Report.
- i) Annual Report

- b. Entity Annual Turnover:

### c. Sign-off and Date

SEAN DOHERTY <hr style="width: 80%; margin: 0 auto;"/> <p><b>Signature</b></p>	26/02/2020 <hr style="width: 80%; margin: 0 auto;"/> <p><b>Date</b></p>
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