

# Broad-Based Black Economic Empowerment Commission



## Compliance Report by Sphere of Government / Public Entities / Organs of State

(in terms of Section 13G (1) of the Act)

Case Number

FORM: BBBEE 1

### SECTION A: DETAILS OF ENTITY

|  |   |
|--|---|
| Name of Entity / Organisation          | Transaction Capital Limited                   |
| Registration Number                    | 2002/0317730/06                               |
| Physical Address                       | 342 Jan Smuts Avenue, Hyde Park<br>2196       |
| Telephone Number                       | 084 400 6158                                  |
| Email Address                          | LisaL@Transactioncapital.co.za                |
| Indicate Type of Entity / Organisation | Public Company                                |
| Industry / Sector                      | Financial Services                            |
| Relevant Code of Good Practice         | DTI Generic Code (Gazette No:42496 and 36928) |
| Name of Verification Agency            | The Legal Verification Team                   |
| Name of Technical Signatory            | Marsha Arnold                                 |

### SECTION B: INFORMATION AS VERIFIED BY THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT VERIFICATION PROFESSIONAL AS PER SCORECARDS

| B-BBEE Elements                     | Target Score Including    | Bonus Points   | Actual Score Achieved |
|-------------------------------------|---------------------------|--|-----------------------|
| Ownership                           | e.g. 25 points            |  | 22.82                 |
| Management Control                  | e.g. 19 points            |  | 10.20                 |
| Skills Development                  | e.g. 20 points            | 2.36   | 18.79                 |
| Enterprise and Supplier Development | e.g. 40 points            | 4.00   | 38.00                 |
| Socio-Economic Development          | e.g. 5 points             |  | 5.00                  |
| Total Score                         | e.g. 109 points           | 6.36   | 94.81                 |
| Priority Elements Achieved          | YES / NO and specify them | Ownership - Yes<br>Skills Development - Yes<br>ESD - Yes |                       |
| Empowering Supplier Status          | YES / NO and specify them | Yes  |                       |
| Final B-BBEE Status Level           | Level 3                   |  |                       |

\*indicate how each element contributes to the outcome of the scorecard

